



University Policy

Volume F1:
Finance

F1.99.3
Fraud Prevention and
Detection

Responsible
Office:
Finance and
Administration

Chapter 99:
General

Effective Date: 10/17/2011
Last Revision: 05/01/2020
Date of Next Review: 05/01/2025



2. FRAUD DETECTION

It is the responsibility of each member of the University community to report to the proper authority any suspected incidents of fraud or fraudulent and/or criminal activity. The University's reporting authorities are University Counsel, University Internal Audit, University Ethics Officer, and University Police Department. The State of Illinois Office of the Executive Inspector General also serves as a reporting authority. Individuals are not to attempt to personally conduct investigations or interviews.

3. INVESTIGATION

University Counsel, in conjunction with the University Ethics Officer, University Police and University Internal Audit, as necessary, will oversee all investigations into allegations of falsification, misappropriation, and other fiscal irregularities. For purposes of confidentiality and best investigation practices, the results of any investigation will not be disclosed or discussed with anyone other than those persons who have a legitimate need to know.

Allegations or matters of conduct deemed outside the scope of the policy, such as personnel-related issues or scientific misconduct, may be referred by University Counsel to the respective area of management for review and appropriate action.

When an investigation reveals suspected criminal activity or an investigation is initiated due to an



HISTORY

Revised May 1, 2020
Public comment period (revision): 04/01 – 30/2020
Reclassified and Recoded: 01/10/2019
Revised: 02/01/2020

RELATED POLICIES AND OTHER INFORMATIONAL MATERIAL